



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SECTOR ~~4~~  
OF COMMERCE

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/905,501

08/04/97

SCROGGIE

15

CAT/29US

0262/0113

NOEL F HEAL  
2516 VIA TEJON  
SUITE 316

PALO VERDES ESTATES CA 90274

NOT ASSIGNED

DATE MAILED:

2735

01/13/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
***Filing Date Granted***

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 220.00.

☒ i. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 390.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

~~Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.~~

☒ 3. The oath or declaration:

☐ IS missing.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

**An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.**

☐ 4. The signature(s) to the oath or declaration is/are:

**missing:**

☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

**A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.**

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

*An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.*

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

***A copy of this notice MUST be returned with the response.***

Customer Service Center

Initial Patent Examination Division (703) 308-1202

08 MILLER 00000016 00405501  
790.00  
130.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
CAT/29US

In Re Application Of: Michael C. Scroggie et al.

Serial No.  
08/905,501

Filing Date  
08/04/97

Examiner  
not assigned

Group Art Unit  
2735

Invention: **SYSTEM AND METHOD FOR PROVIDING SHOPPING AIDS AND INCENTIVES  
TO CUSTOMERS THROUGH A COMPUTER NETWORK**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
1/13/98  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ A new original oath or declaration together with a statement that the "attached" specification is a copy of the specification and any amendments thereto that were filed in the United States Patent and Trademark Office to obtain the filing date.
- ☒ The original declaration or oath for this application together with a statement by a registered patent attorney or agent that the application filed in the United States Patent and Trademark Office is the application that the inventor executed by signing the declaration or oath.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Consistent with the above, the undersigned registered patent attorney hereby states that the application Serial No. 08/905,501 filed in the United States Patent and Trademark Office is the application that the inventors have executed by signing the declaration enclosed.

  
Noel F. Heal, Reg. No. 26,074

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
CAT/29US

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Serial No.  
08/905,501

Filing Date  
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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$790.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 2 \_\_\_\_\_

☒ Total number of claims = 4 \_\_\_\_\_

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the invention \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$920.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months

from: \_\_\_\_\_  
Date

until: \_\_\_\_\_  
Date

Total time extension fees \$0.00

Total fees due \$920.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
CAT/29US

In Re Application Of: **Michael C. Scroggie et al.**

Serial No.  
08/905,501

Filing Date  
08/04/97

Examiner  
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Invention: **SYSTEM AND METHOD FOR PROVIDING SHOPPING AIDS AND INCENTIVES  
TO CUSTOMERS THROUGH A COMPUTER NETWORK**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$920 is to be paid as follows:

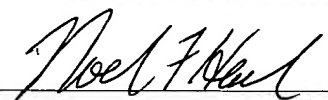
- ☒ A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 08-1509  
A duplicate copy of this sheet is enclosed.

  
Signature

Noel F. Heal, Reg. No. 26,074

Dated: 03/12/98

I certify that this document and fee is being deposited on  
03/12/98 with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

Noel F. Heal, Reg. No. 26,074

Typed or Printed Name of Person Mailing Correspondence

CC: